Sheet $\frac{1}{2}$ of $\frac{1}{2}$ Serial No. **FORM PTO-1449** Atty Docket No. U.S. Dept. of Commerce 09/863,101 P1829R1 Patent and Trademark Office **Applicant** LIST OF DISCLOSORES CITED BY Mass, Robert D. (Use several sheets if HEEMS Filing Date Group 18 May 2001 1642 **U.S. PATENT DOCUMENTS** Examiner Initials Document Number Date Name Class Subclass Filing Date 30.05.02 2002/0064785 320 Mass, R. 321 2002/0090662 11.07.02 Ralph, P. 2002/0141993 03.10.02 Ashkenazi et al. 322 323 2002/0142328 03.10.02 Danenberg, K. 324 2002/0192211 19.12.02 Hudziak et al. Jaffee et al. 325 2003/0059790 27.03.03 326 2003/0108545 12.06.03 Rockwell et al. Mass, R. 2003/0134344 327 17.07.03 2003/0144252 31.07.03 Furr, B. 2003/0152987 14.08.03 Cohen et al. 329 2003/0157097 21.08.03 330 Noguchi et al. 331 2003/0170234A1 11.09.03 Hellmann 2003/0211530 13.11.03 Danenberg, K. 332 333 2004/0037823 26.02.04 Paton et al. Hudziak et al 6,387,371 B1 14.05.02 334 04.06.02 Hudziak et al 335 6,399,063 B1 336 6,407,213 18.06.02 Carter et al. 6,573,043 03.06.03 Cohen et al. 337 6,602,670 05.08.03 Danenberg, K. 338 30.09.03 Baughman et al. 339 6,627,196 6,632,979 14.10.03 Erickson et al. 13.04.04 6,719,971 Carter et al. 341 FOREIGN PATENT DOCUMENTS Translation Examiner Class **Subclass** Initials Document Number Date Country Yes No 342 WO 01/76630 18.10.01 PCT (ENGLISH ABSTRACT ON COVER) 29.11.01 WO 01/89566 PCT 343 344 WO 02/055106 18.07.02 PCT WO 02/45653 13.06.02 345 PCT 346 WO 99/48527 30.09.99 PCT OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, etc.) Cobleigh et al., "Multinational study of the efficacy and safety of humanized anti-HER2 monoclonal antibody in women who have HER2-overexpressing metastatic breast cancer that has progressed after chemotherapy for metastatic disease " Journal of Clinical Oncology 17(9):2639-2648 (Sep 1999) Slamon et al., "Use of chemotherapy plus a monoclonal antibody against HER2 for metastatic breast cancer that overexpresses HER2" New England J. of Medicine 344(11):783-792 (Mar 15, 2001) Statement Regarding HERCEPTIN Clinical Trials pps. 1 (2004) Examiner **Date Considered** 9/14/05-

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